

EL 446868915US

se Docket No.

G-85 [CPA G-4] #

"Express Mail" mailing label number 1 Date of Deposit DEC 18 2001  
 I hereby certify that this application is being deposited with the United States Postal Service  
 "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and  
 is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Roland Plottel

Transmitted herewith for filing is the patent application or

Inventor: Herue ORSUS et al

For: Security Procedure for Controlling The transfer  
of value  
 Enclosed are:

☐ \_\_\_\_\_ sheets of drawing.☐ An assignment of the invention to \_\_\_\_\_

Technology Center 2100

☐ A certified copy of a \_\_\_\_\_ application No \_\_\_\_\_  
 the priority of which is hereby claimed, pursuant to the International Convention for  
 the protection of Industrial Property (613 OG-23, 53 Stat. 1748, MPEP 201.13).  
☐ A verified statement to establish small entity status under 37 CFR 1.9  
 and 37 CFR 1.27.

☒ C.P.A. of Ser. No. 09/202,424 - (2 pages).  
☒ 37 CFR. Part 1.136. Part in Parent.  
 The filing fee has been calculated as shown below:

|  | (Col. 1)  | (Col. 2)  |
|--|-----------|-----------|
| FOR:   | NO. FILED | NO. EXTRA |
| BASIC FEE  |           |           |
| TOTAL CLAIMS   | 23 -20=   | * 3       |
| INDEP CLAIMS   | 3 -3=     | * 0       |
| <input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED |           |           |

\* If the difference in Col. 1 is less  
 than zero, enter "0" in Col. 2

## SMALL ENTITY

| RATE  | FEE |
|-------|-----|
| X:11  | \$  |
| X 39  | \$  |
| + 125 | \$  |
| TOTAL | \$  |

\$40.00

Assignment Recording Fee:

OTHER THAN A  
SMALL ENTITY

| RATE  | FEE     |
|-------|---------|
| X:11  | \$740   |
| X 39  | \$54    |
| X 78  | \$0     |
| + 250 | \$280   |
| TOTAL | \$1,074 |

- ☐ Please charge my Deposit Account No. 16-2128 in the amount of  
 \$ 8237. A duplicate copy of this sheet is enclosed.  
☒ A check in the amount of \$ 1,074 to cover the filing fees and assignment recording  
 fees is enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following  
 fees associated with this communication or credit any overpayment to  
 Deposit Account No. 16-2128. A duplicate copy of this sheet is  
 enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.☒ Any patent application processing fees under 37 CFR 1.17.

- ☒ The Commissioner is hereby authorized to charge payment of the following  
 fees during the pendency of this application or credit any overpayment to  
 Deposit Account No. 16-2128. A duplicate copy of this sheet is  
 attached.

☒ Any patent application processing fees under 37 CFR 1.17.☐ The issue fee set in 37 CFR 1.18 at or before mailing of the  
 Notice of Allowance, pursuant to 37 CFR 1.311(b).☒ Any filing fees under 37 CFR 1.16

Address all future correspondence and telephone calls to applicant's  
 attorney whose address and telephone is listed below:

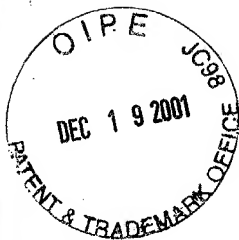
212 489-7073

ROLAND PLOTTEL  
 Rockefeller Center Station  
 P.O. Box 293  
 New York, NY 10185

ROLAND PLOTTEL PTOno.20707

Prior art Statement form 1449

References \_\_\_\_\_ Total



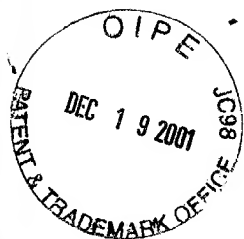
12/27/2001 TBESHAM 00000046 09202424

740.00 DP  
 54.00 DP  
 280.00 DP

01 FEB 13 2002  
 02 FEB 13 2002  
 03 FEB 13 2002

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Request Form for File Wrapper Continuing Application under 37 CFR 1.62 <sup>53</sup>



|                              |  |   |                         |
|------------------------------|--|---|-------------------------|
| DOCKET NUMBER<br><b>G-85</b> | ANTICIPATED CLASSIFICATION OF THIS APPLICATION:<br>CLASS<br>SUBCLASS | PRIOR APPLICATION:<br>EXAMINER<br><b>WYNN COGGINS</b> | ART UNIT<br><b>2165</b> |
|------------------------------|--|---|-------------------------|

Address to:

Commissioner of Patents and Trademarks  
Box FWC  
Washington, D.C. 20231

DEC 28 2001

Technology Center 2100

This is a Request for filing a ☐ continuation-in-part ☒ continuation  
☐ divisional application under 37 CFR 1.62 of prior application Serial  
No. 09/202,424, filed on 12/10/98 entitled Security

Procedure for Controlling The Transfer of Value Units  
by the following named inventor(s). in a Chip Card Gaming System

|                         |                                   |   |   |
|-------------------------|-----------------------------------|---|---|
| FULL NAME OF INVENTOR   | FAMILY NAME<br><b>ORUS</b>        | FIRST GIVEN NAME<br><b>Herve</b>          | SECOND GIVEN NAME                               |
| RESIDENCE & CITIZENSHIP | CITY<br><b>Camoux en Provence</b> | STATE OR FOREIGN COUNTRY<br><b>FRANCE</b> | COUNTRY OF CITIZENSHIP<br><b>FRANCE</b>         |
| POST OFFICE ADDRESS     | POST OFFICE ADDRESS               | CITY<br><b>Camoux en Provence</b>         | STATE & ZIP CODE/COUNTRY<br><b>13470 FRANCE</b> |
| FULL NAME OF INVENTOR   | FAMILY NAME<br><b>FOGLINO</b>     | FIRST GIVEN NAME<br><b>Jean-Jacques</b>   | SECOND GIVEN NAME                               |
| RESIDENCE & CITIZENSHIP | CITY<br><b>PEYNIER</b>            | STATE OR FOREIGN COUNTRY<br><b>FRANCE</b> | COUNTRY OF CITIZENSHIP<br><b>FRANCE</b>         |
| POST OFFICE ADDRESS     | POST OFFICE ADDRESS               | CITY<br><b>Peynier</b>                    | STATE & ZIP CODE/COUNTRY<br><b>13790 FRANCE</b> |
| FULL NAME OF INVENTOR   | FAMILY NAME                       | FIRST GIVEN NAME                          | SECOND GIVEN NAME                               |
| RESIDENCE & CITIZENSHIP | CITY                              | STATE OR FOREIGN COUNTRY                  | COUNTRY OF CITIZENSHIP                          |
| POST OFFICE ADDRESS     | POST OFFICE ADDRESS               | CITY                                      | STATE & ZIP CODE/COUNTRY                        |

20 rue de la  
Grafourie,  
Zac Hou Caire

Les Terrasses  
de l'Audignier

The above identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application. (note: 37 CFR 1.60 may be used for applications where the prior application is not to be abandoned.)

- ☒ Enter the amendment previously filed on 9/28/01 and 10/18/01 under 37 CFR 1.116 but unentered, in the prior application.
- ☐ A preliminary amendment is enclosed.

The filing fee is calculated on the basis of the claims existing in the prior application as amended at 1 and 2 above.

| CLAIMS                                      | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE  | (5) CALCULATIONS |
|---|---------|------------------|------------------|---|------------------|
| TOTAL CLAIMS                                |         | <b>23 -20=</b>   | <b>3</b>         | <b>×\$ 10.00=</b>   | <b>\$ 54.00</b>  |
| INDEPENDENT CLAIMS                          |         | <b>3 -3=</b>     | <b>0</b>         | <b>×\$ 30.00=</b>   | <b>0.00</b>      |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |         |                  |                  | <b>+\$100.00=</b>   | <b>0.00</b>      |
|   |         |                  |                  | <b>BASIC FEE</b>  | <b>+300.00</b>   |
|   |         |                  |                  | <b>Total of above Calculations =</b>  | <b>1.074.00</b>  |
|   |         |                  |                  | <b>Reduction by 1/2 for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). If applicable, verified statement must be attached.</b> | <b>-</b>         |
|   |         |                  |                  | <b>TOTAL =</b>  | <b>1.074</b>     |

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3. ☐ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_.
4. ☒ A check in the amount of \$ 1.074 is enclosed. <sup>#8237</sup>
5. ☐ A new oath or declaration is included since this application is a continuation-in-part which discloses and claims additional matter.
6. ☒ Amend the specification by inserting before the first line the sentence:  
This application is a ☐ continuation-in-part, ☒ continuation,  
☐ division, of application Serial No. 09/20242 filed 12/10/98.
7. ☐ A verified statement claiming small entity status is enclosed. (necessary even if a statement was filed in the prior application.)
8. ☒ Priority of application Serial No. 97/04733 filed on 4-11-97 in FRANCE is claimed under 35 U.S.C. 119.
9. ☒ The prior application is assigned of record to GEMPLUS.
10. ☒ The power of attorney in the prior application is to: ROLAND PLOTTEC / PRO IG No 20707
11. ☐ Also enclosed

Address all future communications to : (May only be completed by applicant, or attorney or agent of record)

ROLAND PLOTTEC

ROCKEFELLER CENTER STN.

P.O. Box 293

NEW YORK NY 10185-0293

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.

DEC 18 2001

Date

Roland Plottec

Signature

- ☐ Inventor(s) ☒ attorney or agent of record  
☐ assignee of complete interest ☐ filed under § 1.34(a)